

Exhibit 300 (BY2008)

PART ONE	
OVERVIEW	
1. Date of Submission:	2006-11-07
2. Agency:	015
3. Bureau:	05
4. Investment Name:	Treasury Foreign Intelligence Network (TFIN)
5. UPI:	015-05-02-00-01-2004-00
6. What kind of investment will this be in FY2008?	
Mixed Life Cycle	
7. What was the first budget year this investment was submitted to OMB?	
FY2007	
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.	
<p>The Treasury Foreign Intelligence Network (TFIN) system supports the Department's expanding role in the War on Terror by allowing users within the Intelligence Community (IC), both within and outside Treasury, to access intelligence information needed to execute their operational responsibilities and meet Executive Order, legal, policy and regulatory mandated requirements imposed on the Department of the Treasury.</p> <p>With the expanding threat of terrorism the current configuration of cables and multiple data repositories is no longer efficient for providing meaningful intelligence to senior Treasury management.</p> <p>The TFIN environment is being modernized through a two-step process that 1) involves stabilization of the existing system through an upgrade of the current infrastructure, 2) followed by a system enhancement to provide expanded toolsets for the analyst to perform their duties more efficiently and effectively.</p> <p>The TFIN redesign will 1) take advantage of new technologies and capabilities, and significantly enhance Treasury's ability to exchange information with the IC and other entities, 2) provide an increased analytical toolset, enhanced ability to share data warehouses with other IC organizations, 3) provide a robust and modular infrastructure capable of growing with the mission, and 4) more closely align the system with the Intelligence Community's enterprise architecture.</p>	
9. Did the Agency's Executive/Investment Committee approve this request?	
yes	
9.a. If "yes," what was the date of this approval?	
2006-08-09	
10. Did the Project Manager review this Exhibit?	
yes	
11. Project Manager Name:	
Schultz, Rory	
Project Manager Phone:	
202-622-2829	
Project Manager Email:	
Rory.Schultz@do.treas.gov	
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.	
yes	
12.a. Will this investment include electronic assets (including computers)?	
yes	
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	

no								
13. Does this investment support one of the PMA initiatives?								
no								
14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?								
no								
15. Is this investment for information technology (See section 53 for definition)?								
yes								
16. What is the level of the IT Project (per CIO Council's PM Guidance)?								
Level 2								
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)								
(1) Project manager has been validated as qualified for this investment								
18. Is this investment identified as high risk on the Q4 - FY 2006 agency high risk report (per OMB's high risk memo)?								
yes								
19. Is this a financial management system?								
no								
20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)								
<table border="1"> <tr><td>Hardware</td><td>4</td></tr> <tr><td>Software</td><td>4</td></tr> <tr><td>Services</td><td>90</td></tr> <tr><td>Other</td><td>2</td></tr> </table>	Hardware	4	Software	4	Services	90	Other	2
Hardware	4							
Software	4							
Services	90							
Other	2							
21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?								
no								
22. Contact information of individual responsible for privacy related questions.								
Name								
Rory Schultz								
Phone Number								
202-622-2829								
Title								
TFIN Program Manager								
Email								
Rory.schultz@do.treas.gov								
23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?								
yes								
SUMMARY OF SPEND								
1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.								
All amounts represent Budget Authority								
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)								
<table border="1"> <tr> <td></td> <td>PY-1 & Earlier</td> <td>PY</td> <td>CY</td> </tr> </table>		PY-1 & Earlier	PY	CY				
	PY-1 & Earlier	PY	CY					

	-2005	2006	2007
Planning Budgetary Resources	0.000	0.000	0.000
Acquisition Budgetary Resources	1.050	10.636	17.918
Maintenance Budgetary Resources	1.275	2.510	3.075
Government FTE Cost	0.000	0.160	0.214
# of FTEs	0	1	2

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

no

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.

In January 2006 the OMB pass back funding increased the FY 2007 budget allocation for this program from \$14.5M to \$21.2M. It should be noted that the overall funding requirement and the cost of the system did not change due to this revised funding profile.

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the FEA Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Table 2

	Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
1	2006	Technology	Accessibility	Systems Availability	Multiple weekly outages	Eliminate multiple frequent outages through the stabilization upgrade of all infrastructure components	System is stable and operating at an efficiency commensurate with user expectations
2	2006	Technology	Response Time	System Response	Multiple slow links ranging from 288kbps to 1.544 Mbps	Upgrade all communication links to minimum 100Mbps	Upgrades completed as part of Stabilization
3	2006	Technology	Load levels	System Capacity	30 Nodes	Increase to 150 nodes	Fully accomplished
4	2007	Mission and Business Results	Intelligence Collection	# of user access	50 users	Increase # of users to 500 by the end of FY	

						2009	
5	2007	Mission and Business Results	Intelligence Collection	Cost savings through increased speed & efficiency	To be determined.	Achieve cost reductions of at least \$X per year (MS old stats VS new version stats)	
6	2007	Technology	Availability	System availability	% of availability in 2006	System is available to users (except for scheduled outages) 99% of the time	
7	2007	Technology	Availability	# of outages	20 a year	Maintain unplanned outages to zero	

EA

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

yes

1.a. If no, please explain why?

N/A

2. Is this investment included in the agency's EA Transition Strategy?

no

2.b. If no, please explain why?

The current Treasury enterprise architecture (EA) does not accommodate secure systems, however, as an intelligence system TFIN is aligned with the EA developed by the Intelligence Community (IC).

3. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	Customer feedback	Used to collect, analyze and handle comments and	Customer Relationship Management	Customer Feedback			No Reuse	1

		feedback from TFIN customers						
2	Alerts and Notifications	Allows a customer to be contacted in relation to a subscription or service	Customer Preferences	Alerts and Notifications			No Reuse	4
3	Online Help	Will provide an electronic Interface to customer assistance	Customer Initiated Assistance	Online Help			No Reuse	2
4	Process tracking	Will allow the monitoring of activities within the business cycle	Tracking and Workflow	Process Tracking			No Reuse	5
5	Case Management	Will allow analysts to manage the life cycle of a claim or investigation within their discrete organization	Tracking and Workflow	Case Management			No Reuse	10
6	Inbound Correspondence Management	Manages communications between the organization and its stakeholders	Routing and Scheduling	Inbound Correspondence Management			No Reuse	8
7	Outbound Correspondence Management	Manages communications between the organization and its stakeholders	Routing and Scheduling	Outbound Correspondence Management			No Reuse	8
8	Configuration Management	Will control the HW and SW environments and document them for review	Management of Processes	Configuration Management			No Reuse	3
9	Quality Management	Used to determine the level that services will satisfy discrete requirements as contained in the SRD	Management of Processes	Quality Management			No Reuse	3
10	Risk Management	Used to support the identification and probabilities of hazards as related to the projects tasks, decisions and long term goals	Management of Processes	Risk Management			No Reuse	3
11	Network Management	Used to Monitor and maintain the communications	Organizational Management	Network Management			No Reuse	3

		network so as to diagnose problems, collect statistics, and provide access to users						
12	Performance Management	Used to measure the effectiveness of the project's financial assets	Investment Management	Performance Management			No Reuse	5
13	Content Authoring	Will allow the creation of tutorials, Web sites, and other interactive programs on the TFIN	Content Management	Content Authoring			No Reuse	10
14	Content Review and Approval	Will allow the creation of tutorials, Web sites, and other interactive programs on the TFIN and their subsequent approval	Content Management	Content Review and Approval			No Reuse	10
15	Information Sharing	Will support the use of documents and data in a multi user environment for use by the TFIN users and Stakeholders	Knowledge Management	Information Sharing			No Reuse	7
16	Record Linking & Association	Used to support the correlation between logical data and information sets	Records Management	Record Linking / Association			No Reuse	3
17	Data Mining	Will provide for the efficient discovery of non-obvious and valuable patterns and relationships within the data available on the TFIN databases and data warehouses	Knowledge Discovery	Data Mining			No Reuse	5
18	Data Warehouse	Supports the usage, processing and general administration of unstructured information	Data Management	Data Warehouse			No Reuse	5
19	Data Integration	Supports the usage, processing and	Development and Integration	Data Integration			No Reuse	5

		general administration of unstructured information						
--	--	--	--	--	--	--	--	--

4. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Risk Management	Component Framework	Data Management	Reporting and Analysis	No procurements have been made.
2	Customer Feedback	Component Framework	Data Management	Reporting and Analysis	No procurements have been made.
3	Process Tracking	Component Framework	Data Management	Reporting and Analysis	No procurements have been made.
4	Data Warehouse	Component Framework	Data Management	Reporting and Analysis	No procurements have been made.
5	Information Sharing	Component Framework	Presentation / Interface	Content Rendering	No procurements have been made.
6	Inbound Correspondence Management	Service Access and Delivery	Access Channels	Collaboration / Communications	No procurements have been made.
7	Outbound Correspondence Management	Service Access and Delivery	Access Channels	Collaboration / Communications	No procurements have been made.
8	Content Review and Approval	Service Access and Delivery	Access Channels	Other Electronic Channels	No procurements have been made.
9	Content Review and Approval	Service Access and Delivery	Access Channels	Collaboration / Communications	No procurements have been made.
10	Information Sharing	Service Access and Delivery	Access Channels	Other Electronic Channels	No procurements have been made.
11	Information Sharing	Service Access and Delivery	Access Channels	Web Browser	No procurements have been made.
12	Alerts and Notifications	Service Access and Delivery	Delivery Channels	Internet	No procurements have been made.
13	Inbound Correspondence Management	Service Access and Delivery	Delivery Channels	Intranet	No procurements have been made.
14	Outbound Correspondence Management	Service Access and Delivery	Delivery Channels	Intranet	No procurements have been made.
15	Alerts and Notifications	Service Access and Delivery	Service Transport	Service Transport	No procurements have been made.
16	Online Help	Service Access and Delivery	Service Transport	Service Transport	No procurements have been made.
17	Data Warehouse	Service Interface	Interoperability	Data Format /	No procurements have

		and Integration		Classification	been made.
18	Data Integration	Service Interface and Integration	Interoperability	Data Transformation	No procurements have been made.
19	Configuration Management	Service Interface and Integration	Interoperability	Data Transformation	No procurements have been made.
20	Data Warehouse	Service Interface and Integration	Interoperability	Data Types / Validation	No procurements have been made.
21	Content Authoring	Service Platform and Infrastructure	Delivery Servers	Application Servers	No procurements have been made.
22	Process Tracking	Service Platform and Infrastructure	Software Engineering	Modeling	No procurements have been made.
23	Configuration Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	No procurements have been made.
24	Quality Management	Service Platform and Infrastructure	Software Engineering	Test Management	No procurements have been made.
25	Online Help	Service Access and Delivery	Access Channels	Web Browser	No procurements have been made.
26	Information Sharing	Service Access and Delivery	Access Channels	Collaboration / Communications	No procurements have been made.
27	Network Management	Service Access and Delivery	Delivery Channels	Internet	No procurements have been made.
28	Case Management	Service Access and Delivery	Delivery Channels	Intranet	No procurements have been made.
29	Network Management	Service Access and Delivery	Service Transport	Supporting Network Services	No procurements have been made.
30	Case Management	Service Platform and Infrastructure	Support Platforms	Platform Dependent	No procurements have been made.
31	Information Sharing	Service Platform and Infrastructure	Delivery Servers	Application Servers	No procurements have been made.
32	Configuration Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	No procurements have been made.
33	Data Mining	Service Platform and Infrastructure	Database / Storage	Database	No procurements have been made.
34	Data Warehouse	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	No procurements have been made.
35	Case Management	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	No procurements have been made.
36	Information Sharing	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)	No procurements have been made.
37	Information Sharing	Service Platform and Infrastructure	Hardware / Infrastructure	Video Conferencing	No procurements have been made.
38	Data Warehouse	Component Framework	Security	Supporting Security Services	No procurements have been made.
39	Case Management	Component Framework	Data Interchange	Data Exchange	No procurements have been made.
40	Record Linking / Association	Component Framework	Data Management	Database Connectivity	No procurements have been made.
41	Performance Management	Component Framework	Data Management	Reporting and Analysis	No procurements have been made.

42	Case Management	Service Interface and Integration	Interoperability	Data Format / Classification	No procurements have been made.
----	-----------------	-----------------------------------	------------------	------------------------------	---------------------------------

5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

yes

5.a. If yes, please describe.

The project involves certain applications, processes, and architectures adapted from the Intelligence Community technical architecture.

6. Does this investment provide the public with access to a government automated information system?

no

PART TWO

RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

yes

1.a. If yes, what is the date of the plan?

2006-09-25

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

no

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule: (O&M investments do NOT need to answer.)

TFIN project team conducts risk analysis of the project throughout the life cycle process in accordance with the Treasury information systems development life cycle methodology and the project management process. The cost, schedule, and technical approach used for the investment are reviewed against risks that are identified, and mitigation approaches are developed for each identified risk. These mitigation approaches are then incorporated into the program cost and schedule baselines. Risks are re-evaluated at appropriate control gates such as design reviews or test reviews and adjustments are made if necessary.

COST & SCHEDULE

Does the earned value management system meet the criteria in ANSI/EIA Standard 748?

yes

2.a. What is the Planned Value (PV)?

13.637

2.b. What is the Earned Value (EV)?

11.443

2.c. What is the actual cost of work performed (AC)?

7.830

What costs are included in the reported Cost/Schedule Performance information?

Contractor and Government

2.e. As of date:

2006-09-30

3. What is the calculated Schedule Performance Index (SPI= EV/PV)?

0.84

4. What is the schedule variance (SV = EV-PV)?
-2.194
5. What is the calculated Cost Performance Index (CPI = EV/AC)?
1.46
6. What is the cost variance (CV = EV-AC)?
3.613
7. Is the CV or SV greater than 10%?
yes
7.a. If yes, was it the CV or SV or both?
Both
7.b. If yes, explain the variance.
<p>In December 2005 TFIN program office developed an accelerated schedule to facilitate the design and delivery of TFIN in FY 07. The TFIN program was unable to complete deliverables according to schedule mainly due to inability to provide technically qualified staff members in a timely manner because of the requirement for highly cleared personnel and the lengthy security clearance process.</p> <p>The TFIN program office conducted a thorough assessment to determine if the schedule slip could be overcome. The net result is that the TFIN Phase 2A delivery (the initial operating capability) will experience a 4-month delay.</p> <p>The TFIN Final Operating Capability, including disaster recovery and COOP capabilities, will be delivered in April 2008 (a 6-month delay). The assessment also includes a comprehensive review and analysis of budget and expenditures to date against the project plan. The EVM analysis affirms there were no cost overruns.</p>
7.c. If yes, what corrective actions are being taken?
<p>The results of the assessment led to key decisions and corrective actions were presented to and approved by the business owner. Some of the key factors involved in the corrective action plan include: establishing a more granular technical progress tracking mechanism; replacing the TFIN development contractor project management team; and implementing a new set of activities called Integration Support to address the corrective actions.</p>
7.d. What is most current Estimate at Completion?
21.329
8. Have any significant changes been made to the baseline during the past fiscal year?
yes